EFS	reby certify that this correspondence is being filed via -Web with the United States Patent and Trademark Office July 18, 2007
тот	WNSEND and TOWNSEND and CREW LLP
By:	/Tiffany Wu/
	Tiffany Wu

Attorney Docket No.: 021756-005200US Client Reference No.: OID-2003-318-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SRIKANTH KARIMISETTY et

al.

Application No.: 10/731,299

Filed: December 8, 2003

For: METHOD AND SYSTEM FOR COLLECTING AN ELECTRONIC SIGNATURE FOR AN ELECTRONIC RECORD STORED IN A DATABASE

Confirmation No.: 3624

Examiner: Niray B. Patel

Art Unit: 2135

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER

37 CFR §1.97 and §1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

SRIKANTH KARIMISETTY et al.

Page 2

Application No.: 10/731,299

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

/Sean F. Parmenter/ Sean F. Parmenter Reg. No. 53,437

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 925-472-5000

Fax: 925-472-8895

SFP:tfw

61099055 v1

Complete if Known Substitute for form 1449A/PTO Application Number 10/731,299 Filing Date December 8, 2003 INFORMATION DISCLOSURE First Named Inventor Karimisetty, Srikanth STATEMENT BY APPLICANT Art Unit 2135 (Use as many sheets as necessary) **Examiner Name** Nirav B. Patel Attorney Docket Number 1 4 021756-005200US Sheet

Examiner	Cite		U.S. PATENT DO Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
=xaminer Initials*	No. ¹	Document Number Number Kind Code ^{2 (# known)}	- MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/NP/	1	5,434,917	07-18-1995	Naccache et al.	
ä	2	5,504,818	04-02-1996	Okano	
990000	3	5,978,475	11-02-1999	Schneier et al.	
99000	4	6,240,407	05-29-2001	Chang et al.	
2000	5	6,295,513	09-25-2001	Thackston	
00000	6	6,366,934	04-02-2002	Cheng et al.	
20000	7	6,796,489	09-28-2004	Slater et al.	
000000	8	6,807,633	10-19-2004	Pavlik	
	9	6,820,082	11-16-2004	Cook et al.	
90000	10	6,928,396	08-09-2005	Thackston	
1000	11	6,959,281	10-25-2005	Freeling et al.	
	12	6,983,423	01-03-2006	Dvorak et al.	
200000	13	7,039,805	05-02-2006	Messing	
	14	7,089,235	08-08-2006	Dettinger et al.	
00000	15	7,136,873	11-14-2006	Smith et al.	
00000	16	7,174,328	02-06-2007	Stanoi et al.	
000000	17	7,185,192	02-27-2007	Kahn	
***************************************	18	7,228,300	06-05-2007	Lei et al.	
	19	2001/0002485	05-31-2001	Bisbee et al.	
00000	20	2002/0010764	01-24-2002	Spicer	
200000	21	2002/0049670	04-25-2002	Moritsu et al.	
80000	22	2002/0156756	10-24-2002	Stanley et al.	
0000	23	2002/0157006	10-24-2002	Narishima et al.	
900000	24	2003/0009295	01-09-2003	Markowitz et al.	
0000	25	2003/0065936	04-03-2003	Wray	
gooooo	26	2003/0131241	07-10-2003	Gladney	
5000000	27	2003/0149608	08-07-2003	Kall etal.	
3000000	28	2003/0150908	08-14-2003	Pokorny et al.	
00000	29	2003/0177041	09-18-2003	Millican et al.	
>>>000000	30	2003/0196108	10-16-2003	Kung	
900000	31	2003/0200130	10-23-2003	Kall etal.	
occopy	32	2004/0039706	02-26-2004	Skowron et al.	
\/	33	2004/0068757	04-08-2004	Heredia	
W	34	2004/0236660	11-25-2004	Thomas et al.	
/NP/	35	2005/0102158	05-12-2005	Maeda, Chris	

Examiner Signature /Nirav Patel/ Date Considered 11/16/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Subs	stitute for form 1449A/PTO			Complete if Known		
				Application Number	10/731,299	
			CUDE	Filing Date	December 8, 2003	
	FORMATION DISC			First Named Inventor	Karimisetty, Srikanth	
) 5	TATEMENT BY AP	PLI	CANI	Art Unit	2135	
(Use as many sheets as necessary)				Examiner Name	Nirav B. Patel	
Sheet	2	of	4	Attorney Docket Number	021756-005200US	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
	140.	Number Kind Code ^{2 (# known)}	WIIWI-DD-1717	Applicant of Orlea Bocament	Figures Appear		
/NP/	36	2005/0108153	05-19-2005	Thomas et al.			
/NP/	37	2005/0132201	06-16-2005	Pitman et al.			
/NP/	38	2005/0240770	10-27-2005	Lane et al.			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent [Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	- 6
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			or Relevant Figures Appear	T°

Examiner		Date	
Signature	/Nirav Patel/	Considered	11/16/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute fo	or form 1449B/PTO			Complete if Known		
				Application Number	10/731,299	
INFO	RMATION DIS	CLOS	URE	Filing Date	December 8, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Karimisetty, Srikanth	
				Art Unit	2135	
(Use as many sheets as necessary)				Examiner Name	Nirav B. Patel	
Sheet	3	of	4	Attorney Docket Number	021756-005200US	

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *							
/NP/	39	BERTINO et al. "Integrating XML and Databases" IEEE Internet Computing July-Aug 2001					
2227222220000000	40	CHUEH et al., "An XML Portable Chart Format," 1998, Proc AMIA Symp., pp. 730-734.					
000000000000000000000000000000000000000	41	FDA, Guidance for Industry Part 11, Electronic Records; Electronic Signatures - Scope and Application, Aug. 2003.					
990000000000000000000000000000000000000	42	MATHIESON, "Implementing Oracle Workflow," European Oracle User Group 1999 Presentation pages 1-11					
,	MEEHAN, "Deploying Hummingbird Document and Records Management Products to Comply with 21 CFR Part 11:Electronic Records; Electronic Signatures," April 2003, Hummingbird.						
\$250,000 \$25	44	MUIRHEAD, "Impact of 21 CFR Part 11 on clinical laboratories: An overview," Aug. 2001, American Clinical Laboratory, pp. 33-34.					
600000000000000 <u>00</u>	45	NOFERI et at. "Information Age Audits" BioPharm Jan 2002 pgs 35-39 and 50					
200000000000000000000000000000000000000	46	ORACLE, "Oracle Workflow Release 2.6.2 Business Event System and PL/SQL Development Guidelines October 2001 26 pages					
200000000000000000000000000000000000000	47	ORACLE, "Question & Answer Document for Oracle Appsworld" January 19-22, 2003 pg 1-81					
V	48 ORACLE, Oracle Life Sciences Platform Feature Summary, Feb. 2003, Oracle Corp.						
/NP/	/NP/ 49 PAPAZOGLOU, "The World of e-Business: Web-Services, Workflows, and Business Transactions" WES 2002, LNCS 2512, pp 153-173,2002						
Examiner Signature		/Nirav Patel/ Date Considered 11/16/2007					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute fo	or form 1449B/PTO			Complete if Known		
				Application Number	10/731,299	
INFOR	RMATION DIS	CLOS	URE	Filing Date	December 8, 2003	
STAT	STATEMENT BY APPLICANT				Karimisetty, Srikanth	
					2135	
(Use as many sheets as necessary)				Examiner Name	Nirav B. Patel	
Sheet	4	of	4	Attorney Docket Number	021756-005200US	

	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials * Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.									
/NP/	50	SmarTeam, SMARTEAM - FDA Compliance Configuration (SFA), IBM/SmarTeam, Sep. 2003.							
/NP/	51	SmarTeam, SMARTeam TM FDA Compliance Technical Paper Functional Compliance With FDA Rule 21 CFR Part 11, July 2002, SMARTEAM Corp.							
/NP/	52	STEMBER, LABTrack: Introducing a legal electronic lab notebook, 221st National Meeting, American Chemical Society, Division of Chemical Information. April 01, 2001							

Examiner Signature	/Nirav Patel/	Date Considered	11/16/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.